

FORM PTO-1449		SERIAL NO. 10/596,047	CASE NO. 13657-030
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE June 21, 2006	GROUP ART UNIT 1625
(use several sheets if necessary)	APPLICANT(S): Jean-Louis Henri Dasseux		CONFIRMATION NO. 1170

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	US3441605	04/29/1969	Stephen Blake	
	A2	US3773946	11/20/1973	Paul L. Creger	
	A3	US3930024	12/30/1975	Paul L. Creger	
	A4	US4287200	09/01/1981	Yutaka Kawamatsu	
	A5	US4584321	04/22/1986	Elso Manghisi, et al.	
	A6	US4613593	09/23/1986	Isao Yamatsu, et al.	
	A7	US4634719	01/06/1987	Naotake Takaishi, et al.	
	A8	US4689344	08/25/1987	Jacob Bar-Tana	
	A9	US4711896	12/08/1987	Jacob Bar-Tana, et al.	
	A10	US5502198	03/26/1996	Joseph A. Picard, et al.	
	A11	US5504073	04/02/1996	Reynold Homan	
	A12	US5578639	11/26/1996	Reynold Homan	
	A13	US5633287	05/27/1997	Helen T. Lee, et al.	
	A14	US5648387	07/15/1997	Charles Larry Bisgaier, et al.	
	A15	US5750569	05/12/1998	Charles Larry Bisgaier, et al.	
	A16	US5756344	05/26/1998	Haruo Onda, et al.	
	A17	US5756544	05/26/1998	Charles Larry Bisgaier, et al.	
	A18	US5783600	07/21/1998	Charles Larry Bisgaier, et al.	
	A19	US5968963	10/19/1999	Reynold Homan	
	A20	US5981595	11/09/1999	Joseph A. Picard, et al.	
	A21	US6017905	01/25/2000	William Howard Roark, et al.	
	A22	US6093719	07/25/2000	Thomas M. A. Bocan	
	A23	US6093744	07/25/2000	Helen T. Lee, et al.	
	A24	US6124309	09/26/2000	Thomas M. A. Bocan	
	A25	US6143755	11/07/2000	Thomas M. A. Bocan	
	A25a	US6699910	03/02/2004	Jean-Louis Henri Dasseux, et al.	
	A25b	US2003/0078239	04/24/2003	Jean-Louis Henri Dasseux, et al.	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A26	WO9630328	10/03/1996	PCT		
EXAMINER /Taofiq Solola/		DATE CONSIDERED 03/18/2009			

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## FOREIGN PATENT DOCUMENTS

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	A27	WO9830530	07/18/1998	PCT	
	A28	WO9900116	01/07/1999	PCT	
	A29	WO0064911	11/02/2000	PCT	
	A30	WO0146110	06/28/2001	PCT	
	A30a	WO0230860	04/18/2002	PCT	

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.				
	A31	Acton et al., 1996, "Identification of Scavenger Receptor SR-BI as a high density lipoprotein receptor", Science 271:518-520			
	A32	Badimon et al., 1992, "Role of high density lipoproteins in the regression of atherosclerosis", Circulation 86(Suppl. III):86-94			
	A33	Barrans et al., 1996 "Pre-beta HDL: structure and metabolism", Biochem. Biophys Acta 1300:73-85			
	A34	Bisgaier et al., 1998, "A novel compound that elevates high density lipoprotein and activates the peroxisome proliferator activated receptor", J. Lipid Res. 39:17-30; (1998)			
	A35	Brown and Goldstein, 1990, "Drugs used in the treatment of hyperlipoproteinemias", In: The Pharmacological Basis of Therapeutics, 8th Ed., Goodman & Gilman, eds., Pergamon Press, Ch. 36, pp. 874-896			
	A36	Bruce et al., 1998, "Plasma lipid transfer proteins, high-density lipoproteins, and reverse cholesterol transport", Annu. Rev. Nutr. 18:297-330			
	A37	Dansky and Fisher, 1999, "High-density lipoprotein and plaque regression: the good cholesterol gets even better", Circulation 100:1762-1763			
	A38	Decossin et al., 1997, "Subclasses of LpA-I in coronary artery disease: distribution and cholesterol efflux ability, Eur. J. Clin. Invest. 27:299-307			
	A39	Fielding and Fielding, 1995, "Molecular physiology of reverse cholesterol transport", J. Lipid Res. 36:211-228			
	A40	Gearing et al., 1993, "Interaction of the peroxisome-proliferator-activated receptor and retinoid X receptor", Proc. Natl. Acad. Sci. USA 90:1440-1444			
	A41	Harris and Kletzien, 1994, "localization of pioglitazone response element in the adipocyte fatty acid-binding protein gene", Mol. Pharmacol. 45:439-445			
	A42	Heyman et al., 1992, "9-cis retinoic acid is a high affinity ligand for the retinoid X receptor", Cell 68:397-406			
	A43	Hidaka and Fidge, 1992, "Affinity purification of the hepatic high-density lipoprotein receptor identifies two acidic glycoproteins and enables further characterization of their binding properties", Biochem. J. 284:161-167			
	A44	Hirano et al., 1997, "Genetic cholesterol ester transfer protein deficiency is extremely frequent in the Omagari area of Japan. Marked hyperalphalipoproteinemia caused by CETP gene mutation is not associated with longevity", Arterioscler. Thromb. Vasc. Biol. 17:1053-1059			
	A45	Issermann and Green, 1990, "Activation of a member of the steroid hormone receptor superfamily by peroxisome proliferators", Nature 347:645-650			

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A46	Keller and Wahli, 1993, "Peroxisome proliferator-activated receptors: a link between endocrinology and nutrition", TEM 4:291-296
A47	Keller et al., 1993, "Fatty acids and retinoids control lipid metabolism through activation of peroxisome proliferator-activated receptor-retinoid X receptor heterodimers", Proc. Natl. Acad. Sci. USA 90:2160-2164
A48	Kliwer et al., 1992, "Convergence of 9-cis retinoic acid and peroxisome proliferator signaling pathways through heterodimer formation of their receptors", Nature 358:771-774
A49	Kurata et al., 1998, "A candidate high density lipoprotein (HDL) receptor, HB2, with possible multiple functions shows sequence homology with adhesion molecules", J. Atheroscler. And Thromb. 4:112-117
A50	Lagrost et al., 1996, "Opposite effects of cholesterol ester transfer protein and phospholipid transfer protein on the size distribution of plasma high density lipoproteins. Physiological relevance in alcoholic patients", J. Biol. Chem. 271:19058-19065
A51	Landschulz et al., 1996, "Regulation of scavenger receptor, class B, type I, a high density lipoprotein receptor, in liver and steroidogenic tissues of the rat", J. Clin. Invest. 98:984-995
A52	Lazarow and Fujiki, 1985, "Biogenesis of peroxisomes", Annu. Rev. Cell Biol. 1:489-530
A53	Levin et al., 1992, "9-cis retinoic acid stereoisomer binds and activates the nuclear receptor RXR $\alpha$ ", Nature 355:359-361
A54	Nemali et al., 1988, "Comparison of constitutive and inducible levels of expression of peroxisomal $\beta$ -oxidation and catalase genes in liver and extrahepatic tissues of rat", Cancer Res. 48:5316-5324
A55	Parra et al., 1992, "A case-control study of lipoprotein particles in two populations at contrasting risk for coronary heart disease. The ECTIM Study", Arterioscler. Thromb. 12:701-707
A56	Reaven, 1993, "Role of insulin resistance in human disease (syndrome X): an expanded definition", Annu. Rev. Med. 44:121-131
A57	Reddy and Lalwani, 1983, "Carcinogenesis by hepatic peroxisome proliferators: evaluation of the risk of hypolipidemic drugs and industrial plasticizers to humans", Crit. Rev. Toxicol. 12:1-58
A58	Rigotti et al., 1996, "Regulation by adrenocorticotrophic hormone of the in vivo expression of scavenger receptor class B type I (SR-BI), a high density lipoprotein receptor, in steroidogenic cells of the murine adrenal gland", J. Biol. Chem. 271:33545-33549
A59	Robins and Fasulo, 1997, "High density lipoproteins, but not other lipoproteins, provide a vehicle for sterol transport to bile", J. Clin. Invest. 99:380-384
A60	Staels and Auwerx, 1998, "Regulation of apo A-I gene expression by fibrates", Atherosclerosis 137(Suppl.):S19-S23
A61	Tontonoz et al., 1994, "Adipocyte-specific transcription factor ARF6 is a heterodimeric complex of two nuclear hormone receptors, PPAR $\gamma$ and RXR $\alpha$ ", Nucl. Acids Res. 22:5628-5634
A62	Vamecq and Draye, 1989, "Pathophysiology of peroxisomal $\beta$ -oxidation", Essays Biochem. 24:115-225
A63	Nan F et al. "Dual Function Glutamate-Related Ligands: Discovery of A Novel, Potent Inhibitor of Glutamate Carboxypeptidase II Possessing mGluR3 Agonist Activity" Journal of Medicinal Chemistry 2000, 43:pp. 772-774

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